

# 907  
03/04/04 2683

Please type a plus sign (+) inside this box



PTO/SB21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/764,548
	Filing Date	January 18, 2001
	First Named Inventor	Rygaard, Chris
	Group Art Unit	2683
	Examiner Name	Stephen D'Agosta
Total Number of Pages in This Submission	Attorney Docket Number	1010722-991103

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment attached <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Associate Power of Attorney <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Information Disclosure Statement (2 pp) Form PTO-1449 (2 pg.) 1 European Patent; 1 P/CT International Search Report; 11 Articles; 3 Office Actions; Certificate of First Class Mailing; Return postcard
<div>RECEIVED MAR 04 2004 TC 2600</div> <div>RECEIVED MAR 03 2004 Technology Center 2600</div>		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual name	Timothy W. Lohse (Reg. No. 35,255) Gray Cary Ware Freidenrich
Signature	
Date	February 26, 2004

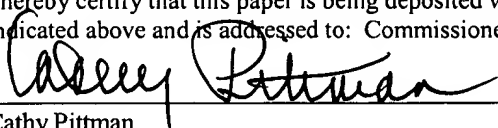
CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date	
Typed or printed name	Cathy Pittman
Signature	
Date	February 26, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DATE OF DEPOSIT: February <sup>26</sup> 2004

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail on the date indicated above and is addressed to: Commissioner for Patents; P.O. Box 1450, Alexandria, VA 22313-1450

  
Cathy Pittman

\* \* \*

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

RECEIVED

MAR 03 2004

Sir:

Technology Center 2600

Transmitted herewith for filing for the Application:

U.S. Patent Application S/N: 09/764,548 for  
**MOBILE APPLICATION PEER TO PEER  
SECURITY SYSTEM AND METHOD**

FILED: January 18, 2001  
INVENTOR(s): Christopher Rygaard  
EXAMINER: Stephen D'Agosta  
ART UNIT: 2683

Enclosed are:

- [ 1 ] Transmittal Form;
- [ 2 ] Information Disclosure Statement;
- [ 2 ] PTO Form 1449;
- [ 16 ] IDS References: 11 Articles; 1 European patent; 1 PCT International Search Report; 3 Office Actions;
- [ 1 ] Certificate of First Class Mailing; and
- [ 1 ] Return Postcard

**INFORMATION DISCLOSURE  
STATEMENT**

Applicant:	Christopher Rygaard
Application No.	10/764,548
Filed:	18 January 2001
For:	MOBILE APPLICATION PEER TO PEER SECURITY SYSTEM AND METHOD
Group Art Unit:	2683
Examiner:	Stephen D'Agosta
Attorney Docket No.:	1010722-991103

**RECEIVED**Commissioner of Patents and Trademarks  
P.O. Box 1450, Alexandria, VA 22313-1450

MAR 03 2004

Technology Center 2600

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

## This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☐ is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; **OR**
- (c) ☒ after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, **OR**
- (d) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below **OR**
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed



The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896.

Date: February 26, 2004

Respectfully submitted,

GRAY CARY WARE & FRIEDENRICH LLP

By: 

Reg. No. Timothy W. Lohse, Reg. No. 35,255  
Attorneys for Applicant(s)

East Palo Alto, CA 94303  
650-833-2055

RECEIVED

☒ Customer Number or Bar Code Label

26379

MAR 03 2004

Technology Center 2600

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Commissioner of Patents & Trademarks; P.O. Box 1450, Alexandria, VA 22313-1450

February 26, 2004  
Date

  
Cathy Pittman